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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/671,941	09/27/2000	Steven R. Tugenberg	GE04609	6202
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MOTOROLA, INC. CORPORATE LAW DEPARTMENT - #56-238 3102 NORTH 5GREET			EXAMINER	
			BACKER, FIRMIN	
PHOENIX, AZ 85018			ART UNIT	PAPER NUMBER
			3621	
			DATE MAILED: 05/05/2003	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)			
Office Action Summans	09/671,941	TUGENBERG ET AL.			
Office Action Summary	Examiner	Art Unit			
TI 4444 MO DATE 141	Firmin Backer	3621			
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with the	e correspondence address			
A SHORTENED STATUTORY PERIOD FOR REPL' THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period v - Failure to reply within the set or extended period for reply will, by statute - Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).  Status	36(a). In no event, however, may a reply be y within the statutory minimum of thirty (30) d will apply and will expire SIX (6) MONTHS fro , cause the application to become ABANDON	timely filed lays will be considered timely. on the mailing date of this communication. NED (35 U.S.C. § 133).			
1) Responsive to communication(s) filed on 27.5	September 2000 .				
2a) ☐ This action is <b>FINAL</b> . 2b) ☑ Th	is action is non-final.				
3) Since this application is in condition for allows closed in accordance with the practice under Disposition of Claims					
4) Claim(s) 1-19 is/are pending in the application	1.				
4a) Of the above claim(s) is/are withdraw	wn from consideration.				
5) Claim(s) is/are allowed.					
6)⊠ Claim(s) <u>1-19</u> is/are rejected.					
7) Claim(s) is/are objected to.					
8) Claim(s) are subject to restriction and/o	r election requirement.				
Application Papers					
9) The specification is objected to by the Examine					
10)⊠ The drawing(s) filed on <u>¶/21/v</u> ois/are: a)⊠ accep					
Applicant may not request that any objection to the					
11) The proposed drawing correction filed on		roved by the Examiner.			
If approved, corrected drawings are required in reply to this Office action.  12) The oath or declaration is objected to by the Examiner.					
	amme.				
Priority under 35 U.S.C. §§ 119 and 120  13)  Acknowledgment is made of a claim for foreigr	n priority under 25 LLC C C 440	(a) (d) a= (5)			
a) ☐ All b) ☐ Some * c) ☐ None of:	i priority drider 35 0.5.C. § 119	(a)-(u) or (r).			
	s have been received				
<ul> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> </ul>					
3. Copies of the certified copies of the prior	• •				
application from the International Bu * See the attached detailed Office action for a list	reau (PCT Rule 17.2(a)).	-			
14) Acknowledgment is made of a claim for domesti	c priority under 35 U.S.C. § 119	e(e) (to a provisional application).			
<ul> <li>a) ☐ The translation of the foreign language pro</li> <li>15)☐ Acknowledgment is made of a claim for domest</li> </ul>	• •				
Attachment(s)					
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)	5) Notice of Informa	ary (PTO-413) Paper No(s) al Patent Application (PTO-152)			
Detect and Trade at 000					

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#### **DETAILED ACTION**

This is in response to a letter for patent filed on September 27<sup>th</sup>, 2000 in which claims 1-19 are presented for examination. Claims 1-19 are pending in the letter.

# Claim Objections

1. Claim 1 is objected to because of the following informalities: Applicant disclosed in paragraph 4 "encrypting the credit card number...; and" and in paragraph 5 "and transferring ... to a destination." Applicant is advised to delete on of the "ands" in order to overcome Examiner's objection to the claim's language.

## Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 3. Claims 1-19 are rejected under 35 U.S.C. 102(e) as being anticipated by Matsushima et al (U.S. Patent Granted Pub. 2002/0161722).

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As per claim 1, 12, Matsushima et al teach a method for purchasing items (a service 4. providing apparatus) over a network (network such as the internet) using a secure communication device (information processing apparatus) (see figs 1, 3, page 1 paragraph 0008, page 3 paragraph 0050, 0059), the secure communication device including a host processor (recording medium, 110), a secure memory (secure data area, 111) that includes a laser-scribed encryption key (prestore secret key), and a non-secure memory (non-secured data area, 112) for storing encrypted data (encrypted personal information such credit card) wherein sensitive data (personal information) is encrypted within the secure memory using the laserscribed encryption key (prestore secret key) and stored as encrypted data in the non-secure memory (see page 3 paragraph, 0051, 4 paragraph 0063, 0066, 0067, 0070, 0071, 0072, 0074, 0075), comprising retrieving an encrypted credit card number (receive from the file serve encrypted personal information) and an encrypted secret key (secret key) from the non-secure memory (nonsecured memory, 112) (see fig 2, page 4 paragraph 0072, 0074, 0075, 0081, 0087) decrypting (decrypting) the encrypted credit card (credit card information) and secret key (media ID) with the laser-scribed encryption key (prestore secret key) (fig 5, page 5 paragraph, 0083) encrypting (encrypting) the credit card number (personal information) with a communication encryption key (public key), the communication encryption key being related to the secret key (see paragraph 0086); and transferring (transmitting) the credit card number, as encrypted with the communication encryption key, over the network to a destination (file server 130) (see fig 23, page 6 paragraph 0103, 0104).

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- 5. As per claim 2, Matsushima et al teach a method wherein the encrypted data is decrypted within the secure memory using the laser-scribed encryption key and stored within the secure memory for use by the host processor (see page 3 paragraph, 0051, 4 paragraph 0063, 0066, 0067, 0070, 0071, 0072, 0074, 0075).
- 6. As per claim 3, Matsushima et al teach a method further comprising receiving a personal identification number (PIN) from a user, decrypting an encrypted PIN with the laser-scribed encryption key, wherein transferring the encrypted credit card number is performed when the decrypted PIN and the PIN received from the user compare (see page 3 paragraph, 0051, 4 paragraph 0063, 0066, 0067, 0070, 0071, 0072, 0074, 0075).
- 7. As per claim 4, 13, Matsushima et al teach a method further comprising receiving biometric information from a user; decrypting stored biometric information for the user with the laser-scribed encryption key, performed when the decrypted biometric information compares with the biometric information received from the user (see page 3 paragraph, 0051, 4 paragraph 0063, 0066, 0067, 0070, 0071, 0072, 0074, 0075).
- 8. As per claim 5, Matsushima et al teach a method wherein the communication encryption key is a common session key and wherein the method further comprises the step of generating the session key using the secret key and information provided by the destination (see figs 1, 3, page 1 paragraph 0008, page 3 paragraph 0050, 0059).

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9. As per claim 6, 14, Matsushima et al teach a method wherein the host processor and secure memory are fabricated on an integrated circuit chip, and the encrypted data is stored in a non-volatile memory (see figs 1, 3).

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- 10. As per claim 7, 15, Matsushima et al teach a method wherein the laser-scribed encryption key is generated by laserscribing a semiconductor die during fabrication of the secure memory to create a plurality of fixed "ones" and "zeroes" which make up the laser-scribed encryption key (see paragraph 0086).
- 11. As per claim 8, 16, Matsushima et al teach a method wherein the laser-scribed encryption key is generated burning onetime programmable fuses on a semiconductor die during fabrication of the secure memory to create a plurality of fixed "ones" and "zeroes" which make up the laser-scribed encryption key (see paragraph 0086).
- 12. As per claim 9, 17, Matsushima et al teach a method wherein the secure memory includes blocking gates coupled between the laser-scribed encryption key and encryption logic circuitry, the blocking gates being comprised of logic gates and have a blocking control signal input preventing access to the laser-scribed encryption key by the encryption logic circuitry.
- 13. As per claim 10, 18, Matsushima et al teach a method wherein the laser-scribed encryption key is unique for each secure memory of a plurality of secure memories of different processing systems (see fig 1 and 3).

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14. As per claim 11, 19, Matsushima et al teach a method wherein the laser-scribed encryption key is randomly generated for each secure memory of a plurality of secure memories of different processing systems (see figs 1 and 3).

### Conclusion

15. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. (see form 892).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Firmin Backer whose telephone number is (703) 305-0624. The examiner can normally be reached on Mon-Thu 8:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James Trammell can be reached on (703) 305-9768. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 305-7687 for regular communications and (703) 305-7687 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-1113.

Firmin Backer

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